



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004,541	11/01/2001	Yoshifumi Okita	B6204

CONFIRMATION NO. 4402

FORMALITIES LETTER



OC00000007228238

Dennis Griggs, Esq.
Griggs & Johnston, LLP
Suite 1000
17950 Preston Road
Dallas, TX 75252

Date Mailed: 12/26/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$280.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1150.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/08/2002 HBARZ11 00000085 10004541
01 FC:201 370.00 OP
02 FC:204 140.00 OP
03 FC:205 65.00 OP



Section 7(3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re patent application of)

Yoshifumi OKITA)

Serial No.: 10/004,541)

Filed: 11/01/2001)

For: "ERGONOMIC HANDRAIL")

Group Art Unit _____

Examiner:)

*cl.
2481*

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Attn: Application Division,
Special Handling Unit

Sir:

COMPLETION OF FILING REQUIREMENTS

This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 12/26/2001. Enclosed for filing in this patent application are:

1. Combined Declaration/Power of Attorney with attached specification and drawings
2. Statement by Attorney that papers attached to Declaration are a true copy of those filed in USPTO to obtain a filing date
3. Small Entity Declarations (2) - Assignees
4. Copy of Form PTO-1533 dated 12/26/2001
5. Priority Documents (2) - Japan 2000-336777
Japan 2001-318680
6. Form PTO-1533 - Notice to File Missing Parts of Application - Filing Date Granted.
7. Instructions as to Underpayment/Overpayment of Fees.

8. The filing fee has been calculated as shown below:

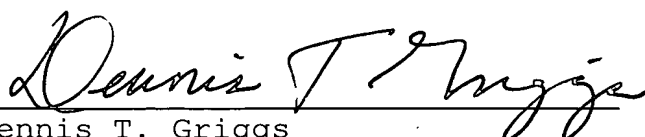
<u>For:</u>	<u>No. Filed</u>	<u>No. Extra</u>	<u>Small Entity</u> <u>Rate</u>	<u>Fee</u>
Basic Fee				\$ 370.00
Total Claims	12 - 20 =	-0-	x \$ 9....	-0-
Indep. Claims	3 - 3 =	-0-	x \$ 42....	-0-
Multiple dependent claims			+ \$ 140....	140.00
Surcharge for Late Filing - Small Entity \$1.16(e)			+ \$ 65....	65.00
TOTAL.....				\$ <u>575.00</u>

X Assertion of Small Entity Status: Applicant hereby asserts status as a small entity under 37 C.F.R. §1.27

X Our credit card authorization in the amount of \$ 575.00 in payment of the filing fee is enclosed.

Respectfully submitted,

Date: February 22, 2002


Dennis T. Griggs
Registration No. 27,790
Attorney for Applicant

Griggs & Johnston, LLP
17950 Preston Road, Suite 1000
Dallas, Texas 75252
(972) 447-4569

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this **COMPLETION OF FILING REQUIREMENTS** (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231.

Misty L. Szatkowski

(Typed name of person mailing paper)

Date: February 22, 2002

Misty L. Szatkowski
(Signature of person mailing paper)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of)
Yoshifumi OKITA)
Serial No.: 10/004,541) Group Art Unit ____
Filed: 11/01/2001) Examiner:
For: "ERGONOMIC HANDRAIL")

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Attn: Application Division,
Special Handling Unit

INSTRUCTIONS AS TO
UNDERPAYMENT/OVERPAYMENT OF FEES

Sir:

1. UNDERPAYMENT

The Commissioner is hereby authorized to charge any fee underpayment relating to this Completion of Filing Requirements to:

Deposit Account No. 07-2156

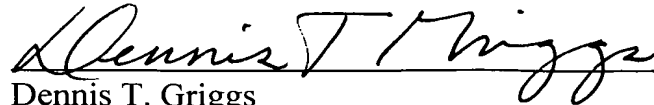
2. OVERPAYMENT

The Commissioner is hereby authorized to credit any fee overpayment relating to this Completion of Filing Requirements to:

Deposit Account No. 07-2156

Respectfully submitted,

Date: February 22, 2002


Dennis T. Griggs
Registration No. 27,790
Attorney for Applicant

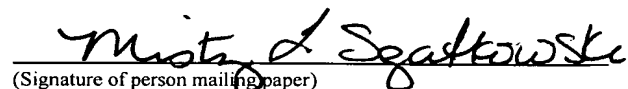
Griggs & Johnston, LLP
17950 Preston Road, Suite 1000
Dallas, Texas 75252
(972) 447-4569

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this INSTRUCTIONS AS TO UNDERPAYMENT/OVERPAYMENT OF FEES (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: **BOX MISSING PARTS**, Commissioner for Patents, Washington, D.C.20231.

Misty L. Szatkowski
(Typed name of person mailing paper)

Date: February 22, 2002


(Signature of person mailing paper)